A New Vesicle-loaded Hydrogel System Suitable for Topical Applications: Preparation and Characterization

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ABSTRACT – **Purpose.** Aim of this research was to prepare and study drug release from a new formulation consisting of non ionic surfactant vesicular structures, niosomes (NSVs), loaded with model molecules calcein (CALC), nile red (NR), ibuprofen (IBU) or caffeine (CAFF), and embedded in a hydrogel matrix. Methods. The system locust bean gum/xanthan (1:1), prepared at 60 °C, was used to entrap the vesicles (Tween 20/cholesterol 1:1), loaded with guest molecules and the release profiles were detected at 32 °C. The hydrogel systems were characterized by means of scanning electron microscopy; niosomes were characterized by means of size and ζ -potential measurements. **Results.** Size measurements showed that a slight increase in vesicle dimensions occurs after inclusion of CALC or CAFF (hydrophilic molecules) in the vesicular structures. Z-potential measurements showed that the inclusion of these molecules did not significantly modify the surface charge of empty vesicles. This was probably related to an almost negligible drug adsorption on the vesicle surface. The release from the niosomes-gel systems of two probes (CALC and NR) showed that the diffusion of CALC through the gel was not affected by the niosome entrapment while for NR, the presence of vesicles was crucial. The release profiles from niosomes-gel systems and from the hydrogel alone of model drugs, CAFF and IBU, showed an appreciable difference between the two drugs: the more hydrophilic CAFF was released much faster than IBU. In all release studies turbidity, dimension and ζ -potential analyses indicated that the loaded niosomes were released by the hydrogel matrix without being damaged. Conclusions. The reported *in vitro* experiments show the capability of the novel formulation to combine the qualities of both chosen single systems, i.e. the niosomes and the polymeric network. The hydrogel shows a protective effect on vesicle integrity and leads to a slow release of the loaded model molecules from the polysaccharidic system.

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INTRODUCTION

The major disadvantage of transdermal and dermal drug delivery is the poor penetration of most compounds across human skin. The main barrier of the skin is located within its uppermost layer, the stratum corneum. Several approaches have been developed to weaken this skin barrier. One of the approaches to achieve increased skin penetration of drugs and other cosmetic chemicals, is through the use of vesicular systems, such as liposomes and niosomes. These carriers can act as drug reservoirs showing several advantages over conventional dosage forms. Furthermore, by modifying their composition or their surface it is possible to adjust drug release rate and/or the affinity for the target site. Colloidal systems, like micro-spheres, appeared in the fifties, and they were mainly used in the field of cosmetics. Liposomes, discovered in the sixties,

appeared in the cosmetic market in 1986 (1), and for a long time, they were considered as the main innovative contributors in the dermal area for both pharmaceutical and cosmetic products. Due to some drawbacks like high cost, variable purity of natural phospholipids and unstable nature, surfactant based vesicles 'niosomes' were proposed. While liposomes are unilamellar or multilamellar structures composed of lipid molecules (most often phospholipids) (1), niosomes are formed by non-ionic surfactants that in aqueous media assume closed bilayer structures (2). In comparison to phospholipid vesicles (liposomes), niosomes offer higher chemical stability, lower costs, and great availability of surfactants with different structures (3-5).

Corresponding Author: Maria Carafa; Department of Drug Chemistry and Technologies; "Sapienza", University of Rome, Rome, Italy; *E-mail*: maria.carafa@uniroma1.it These vesicular delivery systems attracted considerable attention in topical/transdermal drug delivery for many reasons: niosomes can act as enhancers for the penetration through the skin of guest molecules, are biodegradable, effective in the modulation of drug release properties, and in most cases non-toxic. Their effectiveness is strongly dependent on their physico-chemical properties, such as composition, size, charge and lamellarity (6). Niosomes, as liposomes, can carry hydrophilic and/or hydrophobic drugs. In 1975 the first cosmetic product containing non-ionic surfactant vesicles, called 'Niosomes', was brought into the market (7-8). Later the product had also a successor, the 'Niosome Plus' antiageing cream, which reached the market in the early nineties. Usually, vesicles are applied to the skin in solution or in gels, since stable niosomal creams are difficult to formulate and gel formulations do not seem to prevent efficient transport of niosomes into the skin (9).

Aim of this research was the study of the technological properties of a new formulation consisting of model drugs loaded within vesicular structures embedded in a hydrogel matrix. In particular, the system was tailored in order to obtain a protection of the vesicle integrity within the hydrogel and to achieve a slow diffusion of such vesicles inside the gel network.

Ibuprofen (IBU) (10) and caffeine (CAFF) (11) were chosen as model drugs for topical application, according to their distribution coefficient (IBU: log D = 1.21; CAFF: log D = -0.10) and pKa (IBU: pKa = 4.3; CAFF: pKa = 0.51).

For the choice of the polymeric matrix (12-13) to be used as a carrier for the loaded vesicles, different systems were tested and the gel prepared with locust bean gum (LBG) and xanthan (xanth) (Figure 1) was finally selected. These two polysaccharides exhibit, as it happens for some other binary mixtures, unexpected synergistic interactions. In fact, the mixture of the two polymer solutions may form a gel under conditions where the individual components are non-gelling, i.e. a synergistic gelation occurs by a direct binding between the two polymers (14).



Xanthan



Polysorbate 20 (Tween[®]20)



Figure 1. Repeating units of locust bean gum, xanthan, and Tween 20.

Furthermore, the strength of the network depends on the temperature during preparation and on the weight ratio between the two components. Thus, the mechanical properties of the gel can be modulated by varying the relative amount of the two polymers and taking also into account the different conformation of xanth at 25° C (double-helix ordered structure) (15). The strongest network is obtained when the two polymers are present in a weight ratio 1:1 and the two solutions are mixed at temperature higher than 45° C, i.e. when xanth is dissolved as single chains. However, a wide debate is still open in elucidating the mechanism leading to the gel formation and several models were proposed (17-21).

One important aspect related to the use of this binary mixture is supported also by the fact that these polymers are biocompatible and they are already widely used in food industry (22-27). Furthermore, it must be pointed out that the single polymers are already used as excipients in tablet formulations, and the LBG/xanthan gels have been proposed in pharmaceutical applications for modified release purposes (28-35).

In the present work the system LBG/xanth (1:1), prepared at 60° C, was used to entrap the vesicles previously loaded with guest molecules and the release profiles have been detected at 32° C, considered as surface skin temperature. The compatibility of the vesicles with the hydrogel network was studied and the advantages of the presence of both components, gel and vesicles, are discussed. The gel slows down the release of niosomes, and the vesicles should enhance drug absorption.

MATERIALS AND METHODS

Materials

Locust Bean Gum (LBG), from *Ceratonia Campestris*, was provided by Carbomer (San Diego, USA). The ratio between Mannose and Galactose, was estimated by means of ¹H NMR (carried out at 70° C with a Brucker AVANCE AQS 600 spectrometer, operating at 600.13 MHz) and an M/G value of ~ 3.4 was found. The average molecular weight (M_w) of LBG, $5.0x10^5$ (g/mol), was estimated from static light scattering measurements (36).

Xanthan Gum, from *Xanthomonas campestris*, was provided by Fluka (Milan, Italy). For each repeating unit, 1.6 acetate and 2.7 pyruvate groups were estimated by ¹H NMR measurements (carried out at 85° C with a Brucker AVANCE AQS 600 spectrometer, operating at 600.13 MHz). The average molecular weight of xanth, 1.25×10^{6} (g/mol), was obtained by means of static light scattering measurements (36).

The two polysaccharides were used after purification. A given amount of xanth, sodium salt, (polymer concentration, $c_p = 0.5\%$ w/V) was dissolved in distilled water, under magnetic and mechanical stirring, at room temperature for 48 h, while LBG ($c_p = 0.5\%$ w/V) was dispersed in distilled water, under magnetic and mechanical stirring, at 80° C for 24 h and at room temperature for 24 additional hours (37). The solutions were then exhaustively dialyzed at 4° C against distilled water, using dialysis membranes with a cut-off 12,000-14,000. In order to convert the xanth polymer into the sodium form, NaOH 0.2 N was added to the dialyzed solution up to pH = 7.0. Finally, the samples were freeze-dried and stored in a desiccator until use. Tween 20 and Sephadex G-75, Hepes salt {N-(2-hydroxyethyl) piperazine-N-(2- ethanesulfonicacid)}, cholesterol (CHOL), theophylline (TPH), caffeine (CAFF), ibuprofen (IBU) and calcein (CALC) were purchased from Sigma-Aldrich (Milan, Italy). 9-(diethylamino)-5H-benzo[R] phenoxazin-5-one) (nile red, NR) was purchased from Acros Organics (Geel, Belgium). All other products and reagents were of analytical grade.

Vesicle preparation and purification

NSVs were prepared using Tw20 (Figure 1) and CHOL in 1:1 molar ratio (15:15 mM). Tw20 concentration in the samples was always remarkably above its CMC. Where indicated, the fluorescent probe NR (2.5 mM) or IBU (1%) or CAFF (3%) were added to the surfactant/CHOL mixture before vesicle preparations. The vesicles were obtained by the "film" method, as previously reported (9). The dried films were hydrated by addition of HEPES buffer (10 mM, pH=7.4) alone or sodium CALC 10⁻² M in HEPES (10 mM, pH 7.4). The surfactant dispersion was mechanically stirred for about 5 min and then sonicated for 5 min at 60° C (Vibracells-VCX400-Sonics), equipped with an exponential microprobe operating at 23 kHz and an amplitude of 6 mm. Vesicle dispersions were purified by means of size exclusion chromatography on Sephadex G75 (mobile phase: HEPES buffer 10 mM, pH=7.4).

Vesicle characterization

Size, potential and morphology measurements

The size and the ζ -potential of the surfactant vesicle dispersions were measured by dynamic light scattering (DLS) with a Malvern Zetasizer Nano ZS90 (Malvern Instruments Ltd.,

Worcestershire, United Kingdom), which measures the mass distribution of particle size as well as the electrophoretic mobility of the dispersed particle.

The reported value represents the mean of the ζ-potential (mV) and the mean of the hydrodynamic diameter (nm) of the surfactant vesicles. NSVs were diluted 1:100 and 1:10 in HEPES buffer for size and ζ-potential measurements, respectively. It should be pointed out that size distribution results were determined as % of intensity of the colloidal dispersion. The polydispersity index (p.i.) value was determined as a measurement of the breadth of the size distribution: a p.i. value lower than 0.3 indicates a rather homogenous and monodisperse population (38). Size and ζ -potential measurements were also performed to assess the delivery of intact vesicle in the surrounding medium during release experiments.

Entrapment Efficiency (EE)

Drug entrapment within the vesicles was assessed using a spectrofluorometer or a spectrophotometer, depending on molecule features, on purified vesicles, after their disruption with isopropanol (vesicle dispersion/isopropanol 1/1). Drug EE was calculated as follows:

EE.= (mass of incorporated drug/mass used for vesicle preparation) x 100 (1)

Preparation of the LBG/Xanthan hydrogel loaded with the vesicles.

The LBG/Xanthan hydrogels were prepared by mixing appropriate amounts of LBG and xanth solutions ($c_p = 0.5 \%$ w/V) previously autoclaved at 121° C for 20 min. Aliquots (4 mL) of each polymer solution were mixed for 15 min at 60° C (T>T_{melting} of the Xanth chains) in the presence of 2 cm³ of the vesicle solutions in order to obtain a homogeneous system. The added vesicles were previously loaded with the different model molecules: CALC and CAFF as hydrophilic models and NR and IBU as hydrophobic ones. All prepared new formulations were still self sustaining gels.

Release experiments

The gels of LBG/Xanth loaded alternatively with the solution or suspensions of the model drugs and with the vesicles containing the model molecule were tested for the release experiments. Release experiments from the drug loaded-NSVs/gel system and from the drug/gel system (i.e. without the NSVs) were carried out in a beaker containing 200 cm³ of HEPES. The set-up was kept at T =32° C by means of a temperature-controlled water bath and the release medium was gently magnetically stirred during the experiment. Aliquots of 3 mL were withdrawn from the solution at appropriate time intervals and replaced with the same amount of fresh solvent. Released CALC was detected at Ex/Em 492/520 nm by Perkin-Elmer means of LS50B а spectrofluorometer (high pressure Xenon source) IBU, CAFF and NR were detected, respectively, at 272 nm, 273 nm and 574 nm by means of a spectrophotometer (Perkin-Elmer, lambda 3a, UV-Vis spectrometer) equipped by 1.0 cm pathlength quartz cells. All aliquots were analyzed immediately after sampling and addition of isopropanol (1/1 v/v) to disrupt the vesicular structures present in the medium. At the end of release experiments the presence of vesicular structures in the medium was assessed by turbidity measurements carried out at Ex/Em 600/600 nm by means of the Perkin Elmer spectrofluorometer and by size and ζ-potential measurements

All release experiments were carried out in triplicate. The values reported in the present paper represent the mean values and lay within 10% of the mean.

Scanning electron microscopy (SEM)

The SEM images were obtained using a FEI Quanta 400 FEG apparatus. Freeze-dried hydrogels were mounted on appropriate stubs and examined under vacuum (50 Pa), at an accelerating voltage of 15 KV. All images were acquired digitally at 400 and 800x magnification.

Statistics

Results are expressed as the mean of three experiments \pm S.D. Statistical data analysis was performed using the Student's t-test at $p \le 0.05$.

RESULTS

Vesicle characterization – NSVs -gel characterization

Prepared formulations were compared to empty NSVs in terms of dimensions and zeta potential, to verify how the inclusion of model drugs can influence vesicular structure and properties.

Size measurements (Table 1) showed that a slight increase in vesicle dimensions occurs when CALC or CAFF are loaded in the vesicular structures. Zeta potential measurements (Table 1) showed that the inclusion of these molecules in NSVs did not significantly modify the surface charge of empty vesicles.

Loaded vesicles were also characterized. The EE was rather low, in comparison to other vesicular formulations (39-40).

Due to the low values of EE, release studies were carried out on non purified samples with guest molecules only partially loaded inside the vesicular structures (41-43).

The hydrogel effect on the structural integrity of vesicles during the release process, was also studied.

Release experiments

Release of hydrophilic and hydrophobic probes

The release from the NSVs-gel systems of two probes, the hydrophilic CALC and the hydrophobic NR, is shown in Figure 2, together with the release from the polysaccharidic gel matrix, i.e. without NSVs. The more hydrophilic CALC was completely released within the first 24 h in both cases, when it was homogenously loaded inside the gel and when it was previously loaded inside the vesicles.

A completely different behaviour was detected in the case of the hydrophobic probe, NR. The results showed that the presence of vesicles loaded with NR was crucial.

Release of hydrophilic and hydrophobic drugs.

The vesicles were also loaded with two model drugs, CAFF and IBU. The release profiles, obtained from the NSVs-gel systems and from the hydrogel without vesicles, are reported in Figure 3. The delivery of the two drugs were different: the more hydrophilic CAFF (solubility in water, 21.7 mg/mL, 25° C) was released much faster than IBU and it was capable to diffuse out completely from the polymeric matrix within the first 24-48 hours, with a slight lower delivery rate in the presence of NSVs.

A rather different behaviour was detected when IBU, i.e. a hydrophobic drug (very slightly soluble in water, < 1 mg/mL, 25° C), was tested. The results showed that, even after 48 h, the release was not complete and only about 60% of the loaded drug was capable to diffuse from the matrix (Figure 3a). Also in this case there was only a slight difference between the two release profiles during the first 8 hours

Scanning electron microscopy characterization

In Figure 4 the photographs of the two single polymers (LBG and xanth) and of the sample prepared at 60° C with a weight ratio of 1:1 are shown. It is interesting to appreciate the variations between the initial aspect of the single polymers, and the final situation, when the synergistic effect changed dramatically also the macroscopic morphology of the mixed system.

DISCUSSION

The reported formulations were analyzed in terms of dimensions and zeta potential, to verify how the inclusion of model drugs can influence properties. structure and vesicular Size measurements (Table 1) showed that CALC or CAFF (i.e. the more hydrophilic molecules) lead to a slight increase in vesicle dimensions. Zeta potential measurements (Table 1) showed that the inclusion of model molecules in NSVs did not modify significantly the surface charge of empty vesicles. These results were probably related to an almost negligible drug adsorption on the vesicle surface (Table 1), in agreement with data reported in the literature (39). The EE was rather low, in comparison to other vesicular formulations (39-40) and was not influenced by the different molecular properties (Table 1) and, for this reason, release studies were carried out on non purified samples. Only the entrapment of NR was relevant, due to its high lipophilicity.

Table 1. Vesicle	dimensions (diameter),	polydispersity index	(p.i.), ζ -potential val	ues and vesicle EE				
(%).Reported data are means of three experiments \pm S.D.								
Sample	Size (nm)	p.i.	ζ-potential (mV)	EE (%)				
Empty-NSV	138±1	0.051±0.03	-39±1					
NSV-NR	140±1	0.252 ± 0.06	-40±3	73.0±2.0				
NSV-IBU 1%	150±2	0.130 ± 0.01	-44±2	0.4±0.1				
NSV-CAFF 3%	168±1	0.147±0.02	-39±1	0.2±0.1				
NSV-CALC	180±7	0.183±0.11	-44±1	4.0±0.2				



Figure 2. Release profiles of CALC and NR from the LBG/Xanth gel (empty symbols) and from the loaded NSVs-LBG/Xanth systems (full symbols) in HEPES (pH = 7.4) and 32° C. (a) As a function of time; (b) as a function of the square root of time. Release experiments were carried out in triplicate. The reported value represents mean values and lay within 10% of the mean.





Figure 3. Release profiles of IBU and CAFF from the LBG/Xanth gel (empty symbols) and from the loaded NSVs-LBG/Xanth systems (full symbols) in HEPES (pH = 7.4) and 32° C. (a) As a function of time; (b) as a function of the square root of time. The release experiments were carried out in triplicate. Reported values represent mean values and lay within 10% of the mean.



LBG-Xanth 1:1



Figure 4. Scanning electron micrograph of surface morphology of freeze-dried samples of LBG, Xanth (top), and LBG/Xanth 1:1 (bottom) prepared at 60° C (800x).

It has been previously reported (44) that the Tw20-IBU vesicular system does not induce an appreciable variation of IBU cutaneous permeability but allows an IBU depot in the skin. Taking into account such evidence and that aim of this study was the evaluation of the hydrogel effect on the structural integrity of vesicles during the release process, IBU was chosen as model drug for this *in vitro* evaluation.

Actually, to our best of knowledge, the present work represents one of the few reports on the potential application of a combined system vesicles/hydrogel in drug delivery (45-48).

Netherless it must be pointed out that while previous papers were mainly related to the release of selected drugs from the overall vesicle/hydrogel combined system, in the present case our attention was focused on the possibility of finding a hydrogel system capable to release intact drug-loaded vesicles.

The release from the NSVs-gel systems of two probes, the hydrophilic CALC and the hydrophobic NR, is shown in Figure 2a, together with the release from the polysaccharidic gel matrix, i.e. without NSVs. The comparison between the two curves indicates that the diffusion of CALC through the gel was not affected by the entrapment in the NSVs (100% release in 24 hours). In fact, the NSVs were capable of diffusing without being broken or damaged by the polymeric matrix in which they are embedded (Table 2).

On the other hand, the results showed that the presence of vesicles loaded with NR was crucial. When NR was directly dispersed in the hydrogel, almost no diffusion occurred due to its high hydrophobicity that inhibits the release of the molecule in the diffusion medium while, when the probe was previously loaded inside the vesicles, an appreciable release was monitored and almost 70 % of NR was delivered within 48 h (Figure 2a).

Turbidity, dimension and zeta potential analyses carried out on the diffusion medium (Table 2) indicate that the loaded niosomes are released by the hydrogel matrix without being damaged. Furthermore, the release of the two probes obeys a Fickian diffusion (Figure 2b).

experiments \pm S.D.					
Sample	Size (nm)	p.i.	ζ-potential (mV)	Turbidity t=0	Turbidity t=48h
				(AU)	(AU)
NSV-CALC	223±4	0.334 ± 0.08	-25±1	42	205
NSV-NR	191±2	0.369 ± 0.05	-27±3	75	150
NSV-IBU 1%	206±5	0.354±0.07	-23±2	36	220
NSV-CAFF 3%	202±3	0.328 ± 0.06	-21±1	53	198

Table 2. Vesicle dimensions (diameter), polydispersity index (p.i.), ζ -potential and turbidity values obtained analyzing the surrounding medium at the end of the release experiments. Reported data are mean of three experiments + S D

The release profiles of two model drugs, CAFF and IBU, obtained from the NSVs-gel systems and from the hydrogel without vesicles (Figure 3) showed an appreciable difference between the delivery of the two drugs. The more hydrophilic CAFF (solubility in water, 21.7 mg/mL, 25° C) was released much faster than IBU and it was capable to diffuse out completely from the polymeric matrix within the first 24-48 hours, with a slight lower delivery rate in the presence of NSVs. The comparison between the two curves indicates that the diffusion of the drug through the network was not much affected by the preliminary entrapment in the NSVs. The release appeared to be only slightly faster in the absence of NSVs with the delivery profiles almost superimposable during the first 8 hours.

A rather different behaviour was detected when IBU, i.e. a hydrophobic drug (very slightly soluble in water, < 1 mg/mL, 25° C), was tested. The results showed that, even after 48 h, the release was not complete and only about 60% of the loaded drug was capable to diffuse from the matrix (Figure 3a). Also in this case there was only a slight difference between the two release profiles during the first 8 hours, indicating that the presence of the vesicles did not appreciably influence the diffusion of the guest molecules. The significant delivery of the hydrophobic IBU. also in the absence of NSVs, can be related to the increase of solubility of this drug at pH = 7.4(IBU pKa=4.3), where it was present also in the dissociated form. Of course, such effect cannot be taken into account in the case of NR. Also in the case of model drugs, turbidity, dimension and zeta potential analyses (Table 2) indicated that the loaded niosomes were released by the hydrogel matrix without being damaged. Moreover, data reported in Table 2, showed that niosomes released by the hydrogel matrix were characterized by an increase of dimensions and a decrease of the absolute zeta potential values; that can be related to a possible interaction between

vesicle surfaces and drug molecules, not evidenced during the release of model probes.

Furthermore, comparing the release profiles of the two hydrophobic molecules (Figures 2a and 3a) it was possible to observe that in both cases the diffusion follows a Fickian mechanism (Figure 3b) and that, regardless of the degree of ionization, the maximum release was about 60% during the first 48 h. This evidence may be related to the role of the vesicular system that was able to diffuse intact through the hydrogel matrix, while simultaneously driving, the hydrophobic molecules into the external medium. From this effect, it was evident that the hydrophilic molecules (100% released in 24 hours, Figures 2a and 3a) are capable of diffusing by themselves, also in the absence of vesicular carriers.

SEM micrographs (Figure 4), taken on freezedried samples, supported the above discussed considerations: it was clear how the texture of the LBG/xanth mixture was much more compact in comparison to that of the single polymers. Thus, the formation of this supramolecular structure led to a slow release of the entrapped vesicles. As already reported (49), when the two polymer solutions were mixed at a high temperature (T>T_{melting} of xanth chains), a self-sustaining gel was obtained and the strength of the obtained network corresponded to storage moduli of the order of hundreds of Pa, while the two single polymer solutions had negligible values.

CONCLUSIONS

The new formulation, based on loaded vesicles entrapped inside a polymeric network, appears to be suitable for topical application. In fact, the combination of the two carriers, the niosomes and the LBG/xanth gel, leads to a real improvement in the slow release of drugs for dermal diseases. Once the intact vesicles are delivered outside of the hydrogel matrix they can act as carriers for enhanced dermal delivery. Thus, reported *in vitro* experiments, show the capability of the novel formulation to combine the qualities of both chosen single systems: the polymeric hydrogel slows down the delivery of the niosomes and the niosomes themselves, once diffused out of the matrix, are capable to act as carriers for the loaded molecules. Moreover, the vesicular structures, at the same time, may enhance the delivery of the guest molecules, both hydrophilic and hydrophobic ones, in the stratum corneum due to their specific constituents.

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